

# FY 2013 and FY2014 Project Selection, Review, Award Process

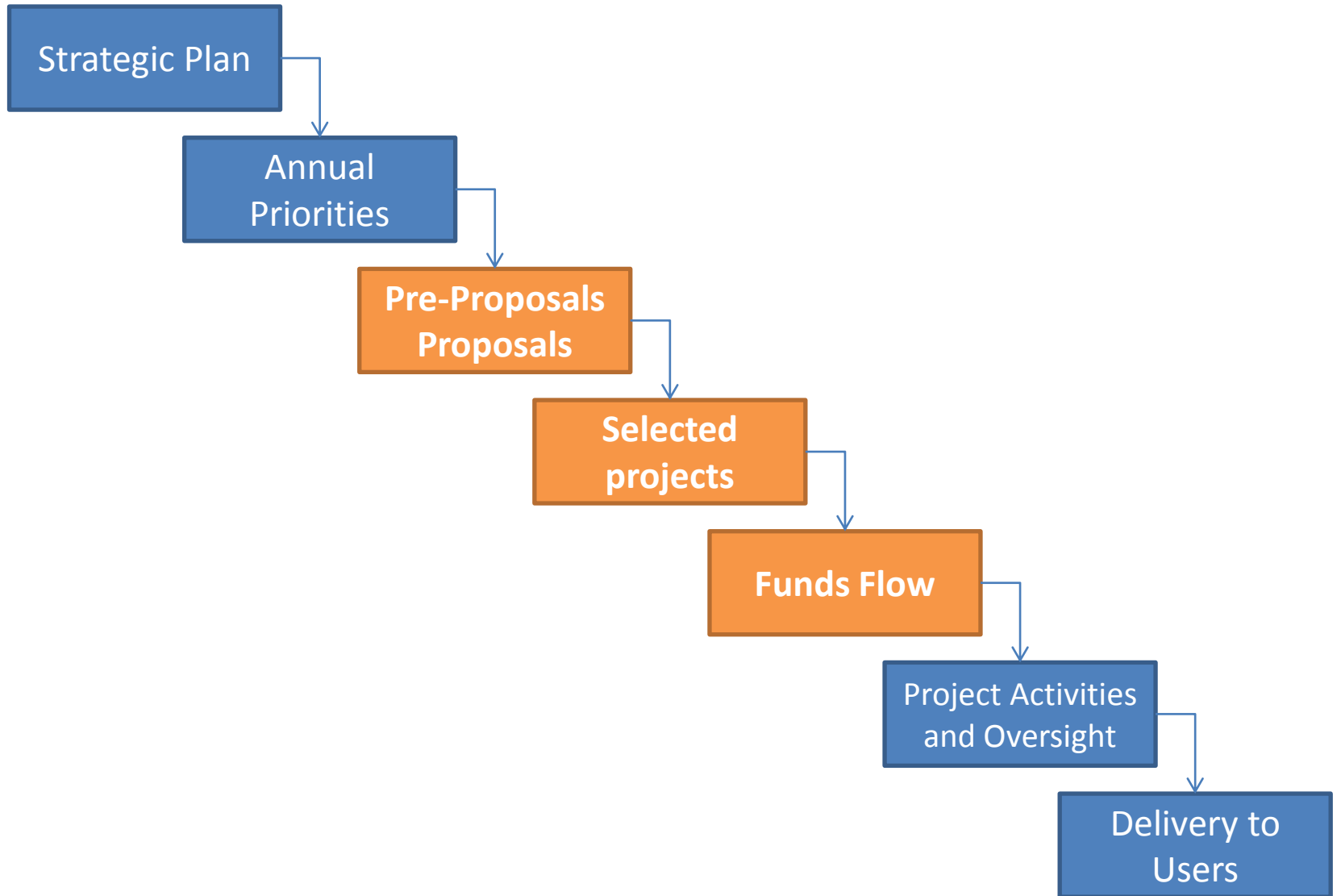
DOI Climate Science Centers

December 20, 2012  
(revised)

## AGENDA

1. Place this meeting and process into context
2. Schedule and flow of the submission and decision process
3. Eligibility – who can do what, and “Routing” issues – who submits what to whom
4. “Overhead” issues – what indirect costs must be included
5. “Bundling” of multiple research projects into single agreements
6. Late-stage reviews and submission deadlines
7. Various FAQs
8. Who to reach out to....
9. QUESTIONS.....

## THIS MEETING



## Schedule for this RFP – FRONT END

(all due dates are “by midnight Mountain Time”)

Funding Opportunity released .....	<b>January 8, 2013</b>
Deadline for submission of Statements of Interest.....	<b>February 8, 2013</b>
Reviews with Regional Partners and Technical Review.....	February
Applicants Notified and Full Proposals Requested.....	<b>February 25, 2013</b>
Invited Full Proposals Due.....	<b>March 25, 2013</b>
<b>NB: Grants.gov may have a different cut-off time zone for submissions; follow Grants.gov guidance</b>	
Technical and Other Reviews.....	Mar-Apr 2013
Final Candidate Projects Identified .....	<b>NLT May 1</b>

## CSCs and Fiscal Years for This RFP

	Alaska	Southeast	North Central	Northwest	South Central	Southwest	Northeast	Pacific Islands
FY13	Not at this time	X	X	Not at this time	X	X	X	X
FY14	X	X	X	X	Not at this time	Not at this time	Not at this time	Not at this time

Proposers will not be required to identify which fiscal year they are proposing for. CSC Directors will develop a multi-year funding strategy based on relevant submissions

## Eligibility

Who may submit proposals to a CSC?

CSC Host universities  
USGS Centers and Programs

Who may participate (and receive funding) under a Host- or USGS-submitted proposal?

All CSC consortium entities  
Other Federal, state, NGO, etc. partners

Host universities are responsible for transfer of funds to consortium entities

Principal Investigator's institution is responsible for transfers to other partners

## RFP Distribution

More is better

Initial / formal release -- USGS OAG to University CSC Directors

NCCWSC will undertake internal distribution (RDs, Centers, Programs, etc.) and to BioClimate

CSC Directors (university and USGS) should distribute CSC university to consortium and local / regional partners

## Routing as the PI Sees It

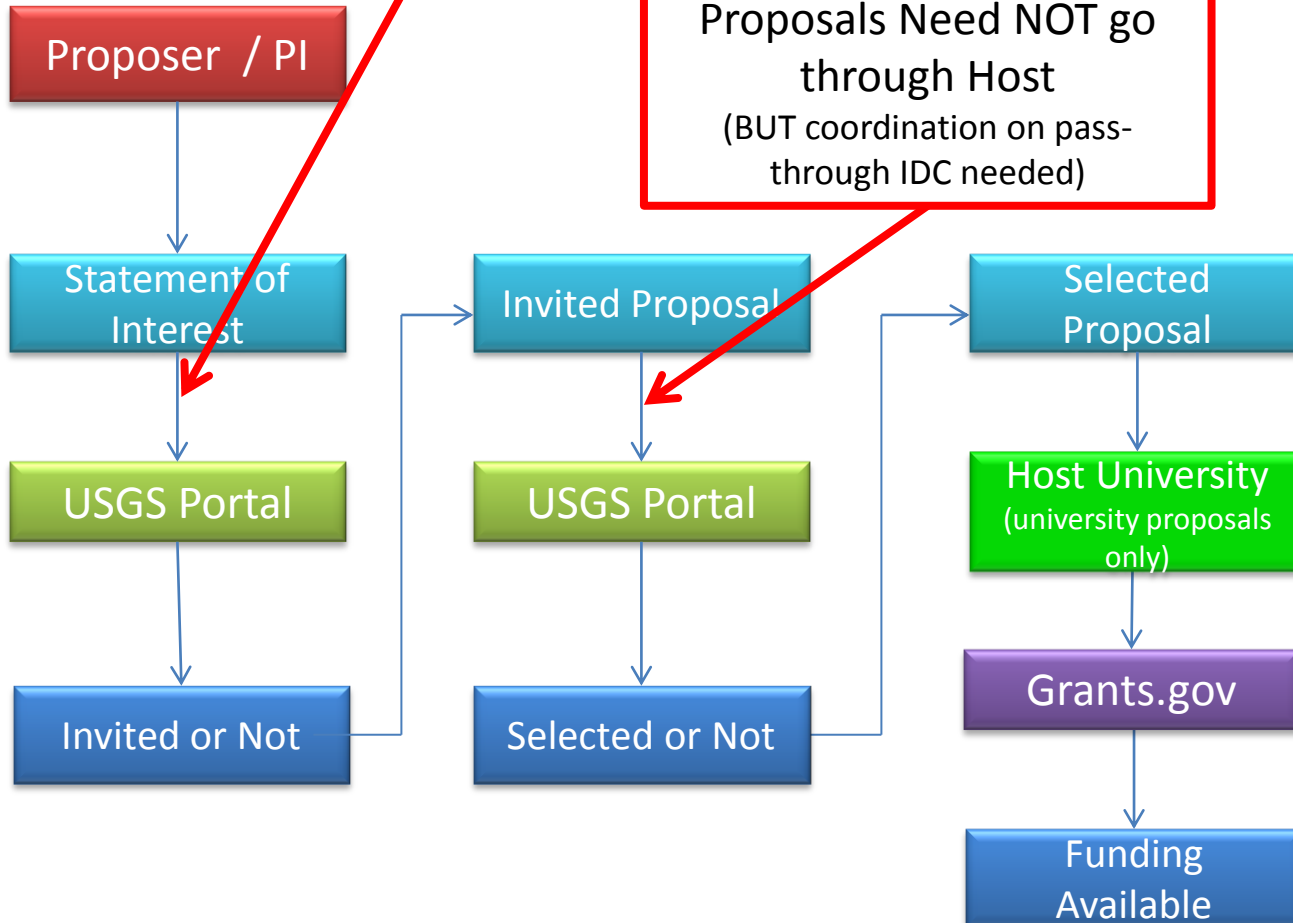
SOIs need NOT go  
through Host  
Institution

Invited University  
Proposals Need NOT go  
through Host  
(BUT coordination on pass-  
through IDC needed)

University Proposals  
MUST go through Host  
Institution  

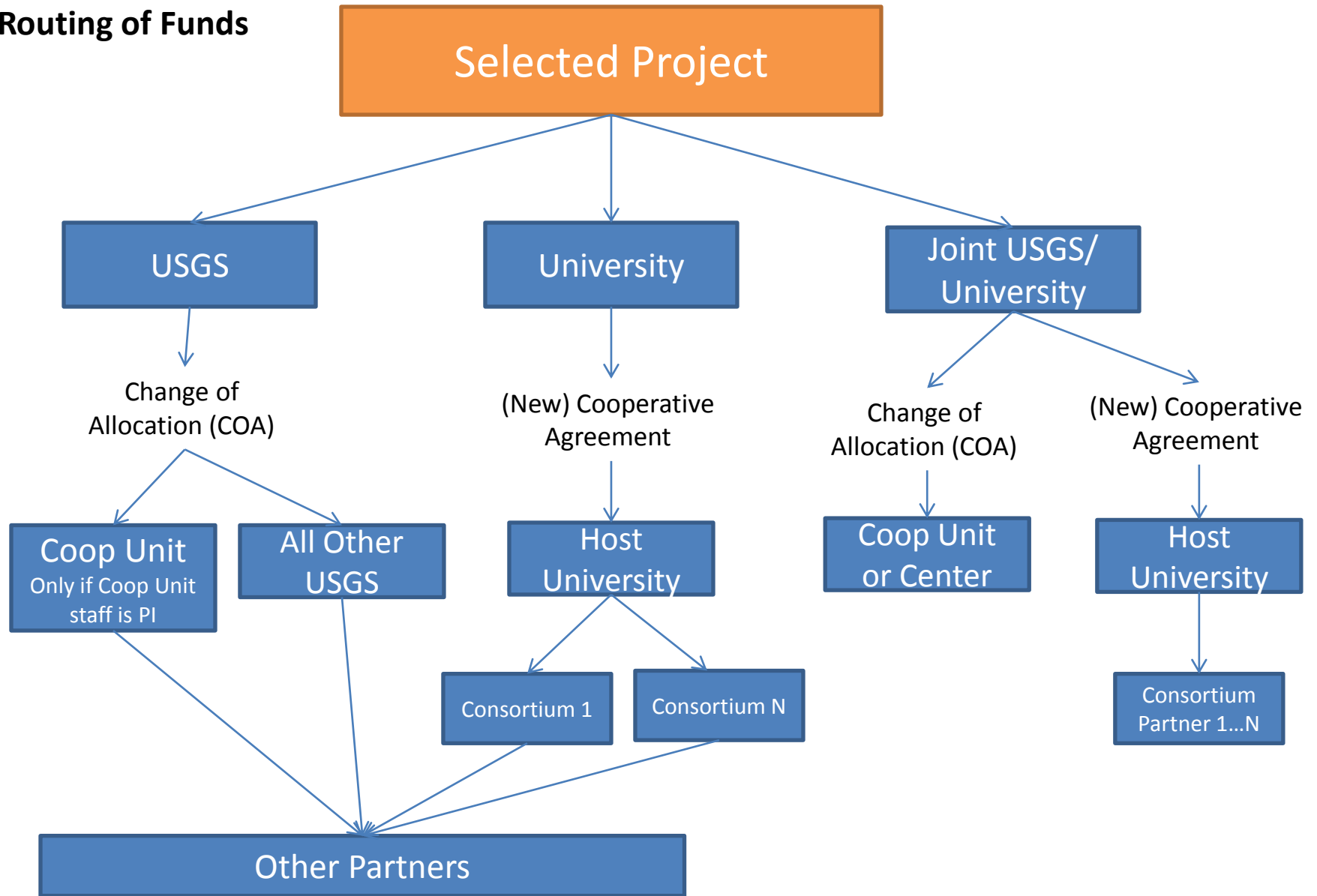
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USGS proposals  
DO NOT go through  
CSC Host



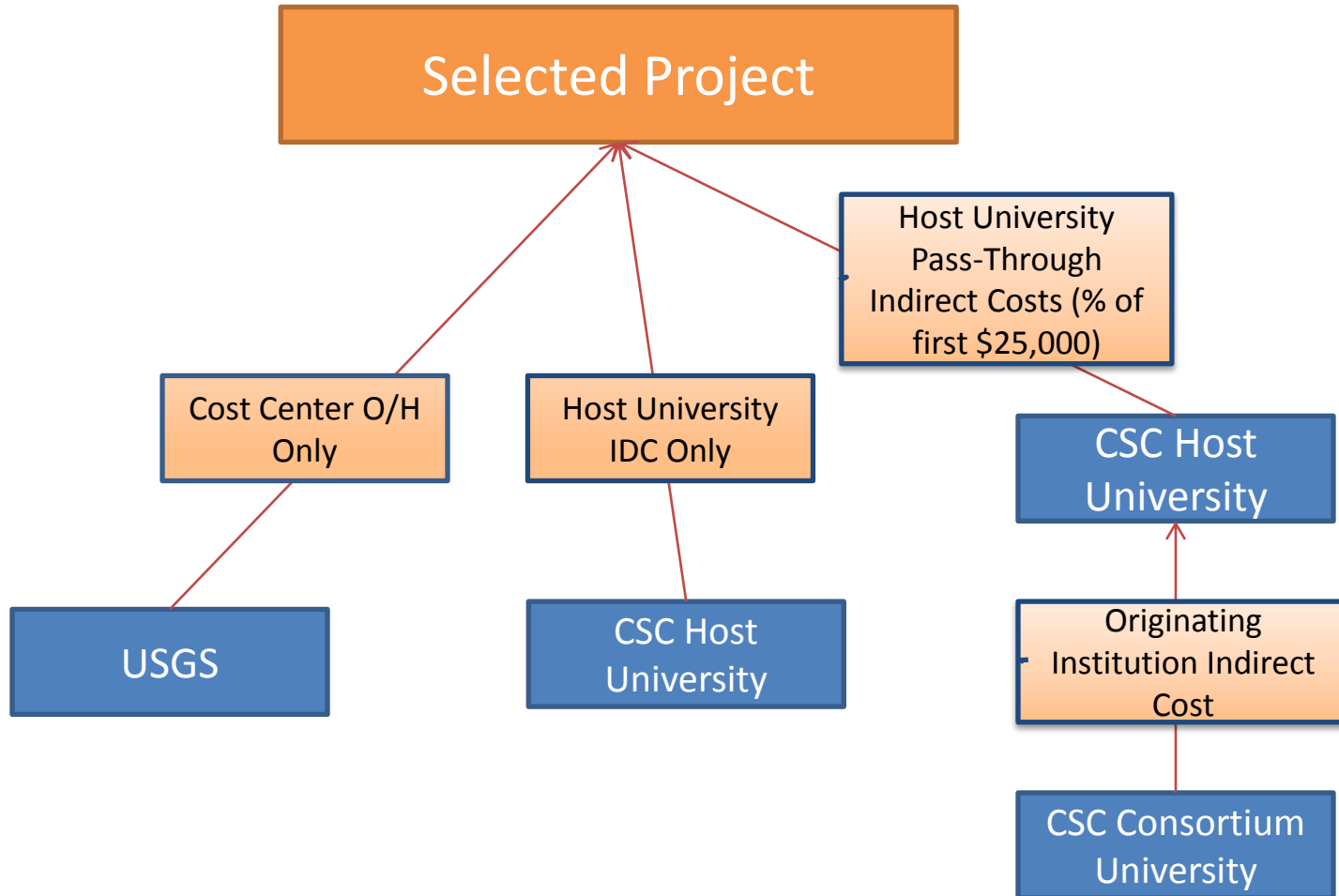


## Routing of Funds



**NOTE:** Workshops, conferences, committee reviews and similar small and non-research activities should be addressed on a case by case basis to ensure conformity with both procurement and conference attendance policies.

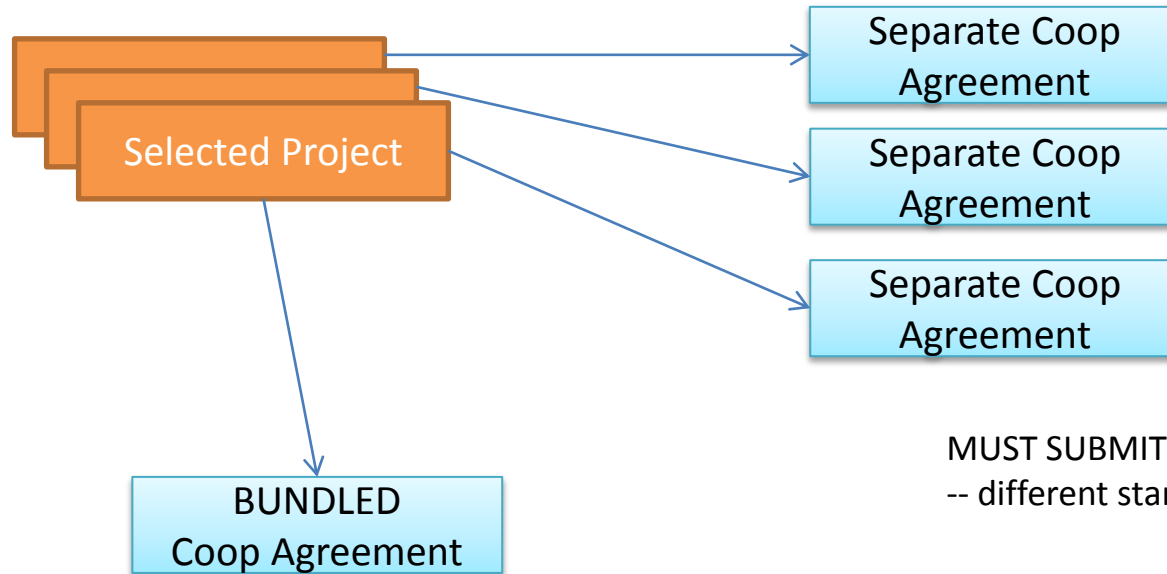
## Applicable Indirect Charges



## University Pass-Through IDC Policy Diversity

<b>INCOMING COOPERATIVE AGREEMENTS (to Host)</b>	<b>OUTGOING FUNDS (to consortium universities)</b>	<b>HOST UNIVERSITY IDC POLICY</b>
<b>Three</b>	<b>Three</b>	<b>IDC on all THREE outgoing</b>
<b>One</b>	<b>Six</b>	<b>IDC on all SIX outgoing</b>
<b>One</b>	<b>Three</b>	<b>IDC on ONE incoming</b>
<b>One</b>	<b>Four</b>	<b>No IDC – Assumed to be taken on Host Agreement</b>

## Bundling of Projects



MUST SUBMIT SEPARATELY IF:  
-- different start/end dates

MUST HAVE:  
-- Identical start and end dates  
-- Each project listed separately

## Schedule for this RFP – FRONT END / **CSC Level**

(all due dates are “by midnight” of the indicated day)

Funding Opportunity released .....	<b>January 8, 2013</b>
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Final Candidate Projects Identified .....	<b>NLT May 1</b>

## Additional Deadlines of Importance

Funding Opportunity released	January 8, 2013
Deadline for submission of Statements of Interest	February 8, 2013
Reviews with Regional Partners and Technical Review	February
Applicants Notified and Full Proposals Requested	February 25
Invited Full Proposals Due	March 25
Technical and Other Reviews	Mar-Apr
Final Candidate Projects Identified	NLT May 1
Cross-Project and Cross-CSC Reviews	May
<b>All Purchase Orders &gt;\$500,000 MUST BE SUBMITTED</b>	<b>May 15</b>
Applicants Notified of Intent to Award	NLT June 1
<b>All Purchase Orders MUST BE SUBMITTED</b>	<b>June 15</b>

(“Intent to Award” means a CSC has selected the project for funding, pending completion of all administrative reviews and processing to complete formal awards.)

## Additional Questions

Q: Can we **modify the “Hosting cooperative agreement”** to transfer research funding?

A: NO. The hosting agreements are structured for a specific purpose, and are not being modified to move research funds.

Q: Can we use **“CESU” (Cooperative Ecosystem Studies Units) agreements** to transfer research funds?

A: As a general matter, no. USGS agreements with universities assume use of standard-overhead agreements.

Q: Can funds be transferred **directly from a CSC to a consortium university** (other than the host)?

A: As a general matter, no. Certain small, non-research activities may be funded directly but these require case by case discussion.

## Additional Q&A – for USGS employees

Q: **Is pre-approval required from the AD-CLU** prior to submission of purchase requests (PR)?

A: No, this requirement has been dropped by AD-CLU for FY13 and FY14.

Q: **Is a sole source justification needed** for each PR when we want to fund research projects?

A: NO. A sole source justification is only required for projects in Alaska, which was chosen as a CSC host in a noncompetitive process.

Q: **What documents must be available** when initiating a purchase request (PR)?

A: Only the PR form (and if required, a sole source justification) is required to initiate a PR. The “scope of work” or “proposal” will be submitted by the proposer via Grants.gov. Following submission, a Technical Evaluation must be prepared and submitted by the CSC Director.

Q: What **authorities** should be used for research cooperative agreements?

A: You should cite **43USC36(d)** (legislative authority) and **CFDA 15.820** (program announcement)

Q: What is my **account number**?

A: See next slide



## DOI CSC Account Numbers: FY 2013

WBS Account Number	CSC Name
GX13EN05ES90000	ALASKA
GX13EN05ESD0000	SOUTH EAST
GX13EN05ESE0000	NORTH WEST
GX13EN05ESF0000	SOUTH WEST
GX13EN05ESG0000	NORTH CENTRAL
GX13EN05ESH0000	SOUTH CENTRAL
GX13EN05ESJ0000	NORTH EAST
GX13EN05ESK0000	PACIFIC ISLANDS

	USGS Director (and key staff)	University Director (and key staff)	Administrative Contact (until ~ March 2013)	After March 2013
Alaska	Dr. Stephen Gray <a href="mailto:sgray@usgs.gov">sgray@usgs.gov</a> Ph: 907-786-6780	Dr. T. Scott Rupp <a href="mailto:tsrupp@alaska.edu">tsrupp@alaska.edu</a> Ph: (907) 474-7535	Nadine Hartke <a href="mailto:nhartke@usgs.gov">nhartke@usgs.gov</a> Ph: 703-648-4607	New Hire
Northwest	Dr. Gustavo Bisbal <a href="mailto:gbisbal@usgs.gov">gbisbal@usgs.gov</a> Ph: 541-737-2525  Nicole DeCrappeo <a href="mailto:ndecrappeo@usgs.gov">ndecrappeo@usgs.gov</a> Ph: 541-737-1092	Dr. Phil Mote <a href="mailto:pmote@coas.oregonstate.edu">pmote@coas.oregonstate.edu</a> Ph: 541-737-5694	Bob Spain <a href="mailto:rspain@usgs.gov">rspain@usgs.gov</a> , 541-750-1034	New Hire
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# QUESTIONS ?